PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032

UTILITY
PATENT APPLICATION
TRANSMITTAL

	A ttomey	Docket No.	740756-2660	Q
•	First Inv	<i>y</i> . <i>y</i>	Shunpei YAMAZAKI et al	4
	Title	ELECTRO-C ELECTRON	OPTICAL DEVICE AND IC DEVICE	U.S.
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TRANSMITTAL	Title ELECTRO-OPTICAL DEVICE AND SOME ELECTRONIC DEVICE			
(Only for new nonprovisional applications under 37 CFR 1.53(b))				
APPLICATION ELEMENTS	Express Mail Label No.  Commissioner for Patents ADDRESS TO: Box Patent Application			
	ADDRESS TO: Box Patent Application			
See MPEP chapter 600 concerning utility patent application contents.  1. E Fee Transmittal Form (e.g., PTO/SB/17)	Washington, DC 20231  7. □ CD-ROM or CD-R in duplicate, large table or			
(Submit an original and a duplicate for fee processing)	Computer Program (Appendix)			
2. Applicant claims small entity status.	8. Nucleotide and/or Amino Acid Sequence Submission			
See 37 CFR 1.27.	(if applicable, all necessary)			
3. Specification [Total Pages 67] (preferred arrangement set forth below)	<ul><li>a. Computer Readable Form (CRF)</li><li>b. Specification Sequence Listing on:</li></ul>			
- Descriptive title of the invention	i. CD-ROM or CD-R (2 copies; or			
<ul> <li>Cross Reference to Related Applications (if applicable)</li> <li>Statement Regarding Fed sponsored R &amp; D (if applicable)</li> </ul>	ii. D paper			
- Reference to sequence listing, a table,	c.   Statements verifying identity of above copies			
or a computer program listing appendix (if applicable)	ACCOMPANYING APPLICATION PARTS			
<ul> <li>Background of the Invention</li> <li>Brief Summary of the Invention</li> </ul>	9. Assignment Papers (cover sheet & document(s))			
- Brief Description of the Drawings (if filed)	10. $\square$ 37 CFR 3.73(b) Statement $\square$ Power of			
- Detailed Description	(when there is an assignee) Attorney			
<ul><li>Claim(s)</li><li>Abstract of the Disclosure</li></ul>	11.  English Translation Document (if applicable)			
4. Drawing(s) (35 U.S.C. 113) Figs. 1-23 [ Total Sheets 23 ]	12.  Information Disclosure			
5. Oath or Declaration [Total Pages 5]	Statement (IDS)/PTO-1449 Citations			
a.  Newly executed (original or copy)	13. ☐ Preliminary Amendment  14. ☑ Return Receipt Postcard (MPEP 503)			
b.	(Should be specifically itemized)			
(for continuation/divisional with Box 18 completed)	15.  Certified Copy of Priority Document(s)			
i. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s)	(if foreign priority is claimed)			
named in the prior application, see 37 CFR	16. Nonpublication request under 35 U.S.C.			
1.63(d)(2) and 1.33(b)	122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.			
6. Application Data Sheet. See 37 CFR 1.76	17. D Other:			
18. If a CONTINUING APPLICATION, check appropriate box, and s or in an Application Data Sheet under 37 CFR 1.76:	supply the requisite information below and in a preliminary amendment,			
	on No.: 09/578,895 filed May 26, 2000			
	Group / Art Unit: 2811			
Prior application information: Examiner: Gene M. Munson				
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of Box 5b, is considered a part of the disclosure of the accompanying continuation				
incorporation can only be relied upon when a portion has been inadvertently of				
19. CORRESPONI	DENCE ADDRESS			
Customer Number or Bar Code Label	or Correspondence address below			
, 22204				
	,			
Name				
Address				
City State	Zip Code			
	(202) 585-8000 Fax (202) 585-8080			
Name (Print/Type) Jeffrey L. Costellia Registration	No/(Attorney/Agent) 35,483			
Signature	Date October 6, 2003			
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approved for use through	10/31/2002.	OMB 06	51-0032

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Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27

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	Approved for use through 10/31/2002. OMB 0651-0032
	Complete if Known
Application Number	Not Yet Assigned
Filing Date	October 6, 2003
First Named Inventor	Shunpei YAMAZAKI et al
Examiner Name	Gene M. Munson
Art Unit	2811
Attorney Docket No.	740756-2660

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)				
Check Credit Card Money Other None	3. ADDITIO	ONAL FEES			
Deposit Account:	Large Entity	Small Entity			
Deposit	Fee Fee	Fee Fee	Fee Description		
Account Number 19-2380(740756-2660)	Code (\$) 1051 130	Code (\$) 2051 65	Surcharge – late filing fee or oath	[	
Number	1052 50	2052 25	-	ver -	
		Δ.	sheet		
Deposit Account Nixon Peabody LLP	1053 130	1053 130	•		
Name Name	1812 2,520	1812 2,520	• •	ļ	
The Commissioner is authorized to: (check all that apply)	1804 920*	1804 920*	Requesting publication of SIR prior to Exam action	niner	
Charge fee(s) indicated below Credit any overpayments	1805 1,840*	1805 1,840*		ner	
Charge any additional fee(s) during the pendency of this application	1251 110	2251 55	action  Extension for reply within first month		
			·		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1252 410	2252 205 2253 465	• •		
	1253 930	2253 465 2254 725			
FEE CALCULATION  1. BASIC FILING FEE	1254 1,450 1255 1,970	2255 985	• •		
Large Entity Small Entity	1255 1,970 1401 320	2401 160	• •		
Fee Fee Fee Fee Description			•		
Code (\$) Code (\$) Fee Paid	1402 320	2402 160	•		
750.00	1403 280 1451 1,510	2403 140 1451 1,510	•	<del></del>	
1001 750 2001 375 Utility filing fee 750.00	1451 1,510 1452 110	1451 1,510 2452 55			
1002 330 2002 165 Design filing fee		2453 650			
1003 520 2003 260 Plant filing fee					
1004 750 2004 375 Reissue filing fee	1501 1,300 1502 470	2501 650 2502 235	· · · · · · · · · · · · · · · · · · ·		
1005 160 2005 80 Provisional filing fee	1502 470	2503 315	5		
SUBTOTAL (1) (\$\750.00	1460 130	1460 130			
SUBTOTAL (1) (\$)750.00					
A DAME A CLASS POPULATION OF A PROPERTY AND DESCRIPTION	1807 50 1806 180	1807 50 1806 180	•		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from	8021 40	8021 40		<del></del>	
Extra Claims below Fee Paid			(times number of properties)	,	
Total Claims 1 -20** = 0 X = =	1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))		
Independent 1 -3** = 0 X = 0	1810 750	2810 375		ed .	
Claims Multiple Dependent X = 0	1801 750	2001 276	(37 CFR 1.129(b))		
Multiple Dependent X = 0	1801 750	2801 375	Request for Continued Examination (RCE)		
Large Entity Small Entity	1802 900	1802 900		ign	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)		1	application		
1202 18 2202 9 Claims in excess of 20	Other fee (spec	ify)			
1201 84 2201 42 Independent claims in excess of 3					
	*Reduced by B	asic Filing Fee Pa	aid SUBTOTAL (3) (\$)		
1203 280 2203 140 Multiple dependent claim, if not paid					
1204 84 2204 42 ** Reissue independent claims over CERTIFICATE OF MAILING original patent I hereby certify that this correspondence is being deposited with the United States Postal					
1205 18 2205 9 ** Reissue claims in excess of 20 and	Service with su	ifficient postage a	as first class mail in an envelope addressed to Ma		
over original patent Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on					
SUBTOTAL (2) (\$)	Name:				
**or number previously paid, if greater; For Reissues, see above			0		
SUBMITTED BY	Registration l	No. 35,48	Complete (if applicable)  Complete (if applicable)  (202) 580	-8000	
Name (Print/Type)  Jeffrey L. Costellia	(Attoppey/Age	ent) 35,46	Telephone (202) 380	-0000	
11 /6_	L.#/ -	· · ·	Date October	6, 2003	
Signature DIV					